

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3743

162 N. Wolfe Road

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Customer No.: 28960

TRANSMITTAL LETTER

Examiner:

In re Application of:
James Lovette et al.
Serial No.: 10/612,241
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Filed: July 1, 2003

For: MULTI-LEVEL MICROCHANNEL

HEAT EXCHANGERS

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313

Sir:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

You will also find enclosed the associated Transmittals, Electronic Information Disclosure Statements, and United States Patent and Trademark Office Acknowledgment Receipts for the electronically filed Information Disclosure Statement (EFS ID #56509); (EFS ID #56511); and (EFS ID #56512) filed on March 3, 2003.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: 3-4-04

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CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3-4-04 By: Jun D. Roma



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Filed: July 1, 2003) 162 N. Wolfe Road
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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

United States Patents or Published Patent Applications have been filed electronically (EFS ID #56509); (EFS ID #56511); and (EFS ID #56512). Applicants have become aware of the following printed publication which may be material to the examination of this application:

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This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

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Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 3 - 4 - 04

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

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Date: 3-4-04 By: Jund Roma

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ectronic Version 1.1 Stylesheet Version v1.1.1

Title of Invention MULTI-LEVEL MICROCHANNEL HEAT EXCHANGERS Information Disclosure Statement Submission Type: *10/612241* 10/612241 Application Number: EFS ID: 56509 Server Response: Confirmation Message Code Submission was successfully submitted - Even if Informational or Warning Messages appear below, ISVR1 please do not resubmit this application ICON1 3319 Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be ISYS5 checked by PTO personnel later. First Named Applicant: James Lovette Attorney Docket Number: 2004-03-03 17:55:45 EDT Timestamp: From: us File Listing:

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Acknowledgement Receipt

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IULTI-LEVEL MICROCHANNEL HEAT EXCHANGERS
ANGERS

Application Number: 10/612241 *10/612241*

2003-07-01

First Named Applicant: James Lovette

Confirmation Number: 3319

Attorney Docket Number:

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Thomas B. Haverstock	/tbh/	
Registered Number: 32571		Attorney

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Sanwo et al.

ELECTRONC INFORMATION & CLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention MULTI-LEVEL MICROCHANNEL HEAT EXCHANGERS

Application Number: 10/612241

Confirmation Number: 3319
First Named Applicant: James Lovette

Attorney Docket Number:

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5508234 or 5514832 or 5514906 or 5544696 or 5548605 or 5575929 or 5585069 or 5641400 or 5692558 or 5696405).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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	2	4109707	1978-08-29	Wilson et al.	7		
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Page 3 of 3

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Signature

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Electronic Version 1.1 Stylesheet Version v1.1.1

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First Named Applicant:	James Lovette	2
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MULTI-LEVEL MICROCHANNEL HEAT EXCHANGERS Invention Title of

10/612241 10/612241 Application Number:

2003-07-01

First Named Applicant: James Lovette Date:

Confirmation Number: 3319

Attorney Docket Number:

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Thomas B. Haverstock	/tbh/	
Registered Number: 32571		Attorney

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Electronic Version v18
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Title of Invention MULTI-LEVEL MICROCHANNEL HEAT EXCHANGERS

Application Number: 10/612241 Confirmation Number: 3319

First Named Applicant: James Lovette

Attorney Docket Number:

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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Signature

Examiner Name	Date

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2003-07-01 Date:

James Lovette First Named Applicant:

Confirmation Number: 3319

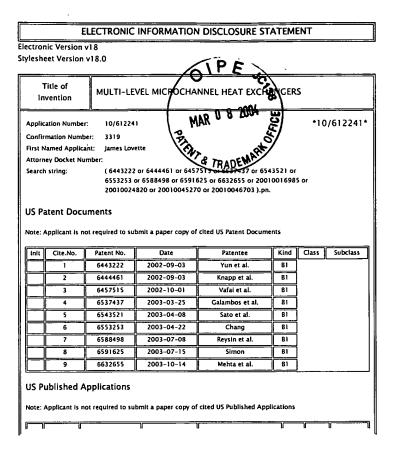
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Sign. Capacity		Attorney	
Elec. Sign.	/tbh/		
Submitted by:	Thomas B. Haverstock	Registered Number: 32571	

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	us-ids.xsl
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FORM PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: COOL-01400

Serial No.: 10/612,241

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TPE	OTHER DOCUMENTS (Including Author, Title, D	ate, Relevant Pages, Place of Publication)				
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FORM PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: COOL-01400	Serial No.: 10/612,241			
l ` ′	ON DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)	Applicants: James Lovette et al.				
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